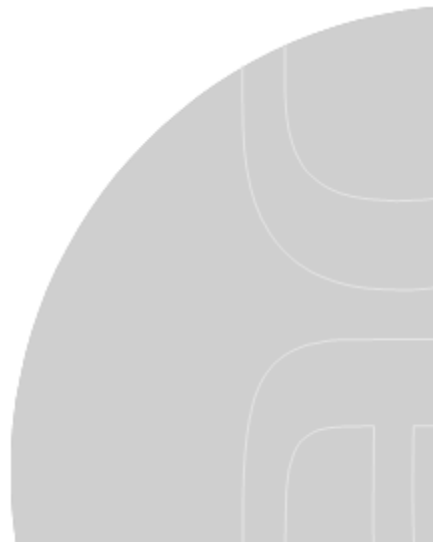




Application Form

Corporate and Institutional investors



How to use this Application Form

To open your register account with CACEIS, please provide the Account opening form (Part 1), the Fund introduction form (Part 2) and the Subscription form (Part 3).

For any new subscription (i.e. if you already have a register account opened with CACEIS), please provide only the Fund introduction form (Part 2) and the Subscription form (Part 3).

For any new subscription into a fund in which you already hold position, please provide only the Subscription form (Part 3)

Part 1: Account opening form

In order to open your register account with CACEIS, please fill in:

- Identification details
- Banking details
- Tax details
- Reporting details
- Third-party and beneficial owner details
- Terms and conditions
- Mandatory documentation
- Representation
- Letter of representation

Part 2: Fund introduction form

In order to invest into a fund for the first time, please fill in:

- Name of the fund
- KIID declaration
- PRIIPs declaration
- SIF, RAIF or SICAR declaration
- General Data Protection Regulation (697/2016/EU) (the "GDPR") declaration
- Institutional investor declaration (optional)

Part 3: Subscription form

In order to subscribe into the fund(s), please fill in:

- Fund identification details (fund name and ISIN code)
- Amount (or number of shares)
- Currency

Part 4: GDPR Data Privacy Notice

Part 1: Account opening form

Please send the original documents to **Caceis Bank, Belgium Branch, Transfer Agent Department, at Avenue du Port 86/C bte 320 – 1000 Brussels - Belgium** and the electronic copies by email to registrar.be@caceis.com

IMPORTANT: Please ensure to complete all fields that are marked with an asterisk (* mandatory field) and fill in the form in BLOCK CAPITALS.

Identification details

<input type="checkbox"/> Bank	<input type="checkbox"/> Nominee	<input type="checkbox"/> Corporate	<input type="checkbox"/> Pension Fund	<input type="checkbox"/> Investment/Mutual Fund
<input type="checkbox"/> Other Financial Institution	<input type="checkbox"/> Foundation/Association	<input type="checkbox"/> Government entity	<input type="checkbox"/> Trust	<input type="checkbox"/> Partnership
<input type="checkbox"/> Insurance Company	<input type="checkbox"/> Fiduciary	<input type="checkbox"/> Other (please specify):		

Entity name*

Legal form*

Place of listing* (if applicable)

Company object*

Registered office address

Street/No. * (P.O. Boxes are not permitted)

City*

Postal code*

Country*

Telephone number

Email*

Fax

Correspondence address, if different from the registered office

Street/No. (P.O. Boxes are not permitted)

City

Post code

Country

Contact person

First and last name (and/or department name)

Telephone number

Email*

Fax

Authorized signatories' identification

Last name*

First name*

1 st	<input type="text"/>	<input type="text"/>
2 nd	<input type="text"/>	<input type="text"/>

Birth date*

Birth country*

1 st	<input type="text"/>	<input type="text"/>
2 nd	<input type="text"/>	<input type="text"/>

Passport / ID card No.* (Please indicate the document type)

1 st	<input type="checkbox"/> ID card / <input type="checkbox"/> Passport	Nr. :
2 nd	<input type="checkbox"/> ID card / <input type="checkbox"/> Passport	Nr. :

Capacity of the signatory* (e.g. Director, Head of,...)

1 st	<input type="text"/>
2 nd	<input type="text"/>

Residential address of each signatory* (Street, Nr., Postal code, City, Country)

1 st	
2 nd	

Bank details

Please complete the bank details and ensure the bank account is in the name of the Investor, as we are not allowed to process third party payments. Redemption proceeds and dividends will be paid to the account below for any currency. CACEIS will be unable to open your account if standard payment instructions are not provided.

Bank account 1 (* mandatory)

Bank name*

Swift/BIC (Bank Identification Code) *

Bank address*

Account holder(s)*

Please complete *at least one* of the following settlement currency *:

EUR payment

Account number/IBAN:

USD payment

Account number/IBAN:

Bank account 2 (optional)

Bank name*

Swift/BIC (Bank Identification Code) *

Bank address*

Account holder(s)*

Please complete *at least one* of the following settlement currency *:

EUR payment

Account number/IBAN:

USD payment

Account number/IBAN:

Note:

- In case the bank details change, you must notify such a change prior to any instruction relating to a redemption and/or dividend payments. Otherwise, such payments will be transferred to the former bank details.
- The bank account details inserted must be opened in the customers' name. We cannot accept or execute third party payments (payments to another party other than the Investor(s) are not permitted).
- Please insert only one bank account per currency.
- For additional payment instructions, please provide banking details on a separate sheet of paper.

Tax details

The Tax Regulations¹ currently in force require the collection (via a specific self-certification form) of additional information from the Investor, such as the tax residency, the tax classifications and the citizenship status. As an Account Holder, you are legally required to complete and provide this tax form upon the account opening.

For any questions regarding the Tax Regulations, please contact your tax adviser or the competent tax authorities.

Please fill in all the appropriate sections below and if there is a change in circumstances, we invite you to provide an updated self-certification form including such change. You declare that the information provided is to the best of your knowledge and belief, accurate and complete.

Foreign Account Tax Compliance Act (FATCA)*

For further information on this regulation (e.g. definitions), please refer to the official website:
<https://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA>

If the entity is a Reporting Foreign Financial Institution incorporated in a country having signed an Intergovernmental Agreement (“IGA”) with the United States of America and is registered on the U.S. Internal Revenue Service (IRS) **website**, please provide the corresponding global intermediary identification number (**GIIN code**) *:

*** Otherwise, please provide a:**

1. **W9 Form:** (<http://www.irs.gov/pub/irs-pdf/fw9.pdf>) if the entity has its registered office in the U.S. or is incorporated in the U.S.
or
2. **W8 BEN-E Form:** (<http://www.irs.gov/pub/irs-pdf/fw8bene.pdf>) if the entity does not have its registered office in the U.S. and is not incorporated in the U.S..

Specific FATCA provisions may be found in the fund's prospectus.

Automatic Exchange of Information for the Common Reporting Standard (AEOI-CRS) under the Organisation for Economic Co-operation and Development (OECD)*

For further information on this regulation (e.g. the definitions), please refer to the official website: <http://www.oecd.org/tax/automatic-exchange/>

Tax residency and other information below is required for AEOI-CRS purposes. Please indicate the country in which your entity or organisation is a resident for tax purposes as well as the associated Tax Identification Number (TIN).

Country of residence for tax purposes *:

Tax Identification Number *:

If the TIN is unavailable, please explain the **reason** *:

If the entity has additional tax residence country(ies), please provide:

- *such additional tax residence country(ies) and TIN(s) on a separate sheet (duly signed, dated with the last and first names of the authorized signatory); and*
- *documentary evidence (e.g. certificate of residence, tax income document) for each additional country in which the entity is resident for tax purposes.*

Country of incorporation or organization *:

¹ The term “Tax regulations” refers to regulations created to enable Automatic Exchange Of Information (AEOI). It is not limited but includes the Foreign Account Tax Compliance Act (FATCA) contained in the US Hire Act 2010 and the Common Reporting Standard (CRS) approved by the Organisation for Economic Co-operation and Development (OECD) Council on 15 July 2014 for automatic exchange of financial account information.

AEOI-CRS status *:

Please tick the box corresponding to the AEOI-CRS status of the entity.

- (a) Financial Institution – Professionally managed investment entity
- (b) Financial Institution – Other
- (c) Active NFE – Corporation that is publicly traded or an affiliate of a publicly traded corporation
- (d) Active NFE – Government entity
- (e) Active NFE – Category of entity other than those listed in (c) to (d)
- (f) Passive NFE

AEOI-CRS Controlling Persons Identification

This section is only required for:

- *Passive NFE; or*
- *Professionally-managed investment entity whose permanent residence is in a country that has not entered into an AEOI agreement (i.e. a Competent Authority Agreement).*

Please tick the box corresponding to the type of Controlling Person:

- Directly or indirectly owning % (percent) of the entity's shares, units or voting rights
- Or, where no person owns such an interest, exercising effective control over the entity
- Or, where there is no effective control, holding the position of a senior managing official

AEOI-CRS Identification of the Controlling Person No.1:

Title

Date of birth*

First or given names*

City or town of birth*

Last or family name*

Country of birth*

Permanent residence address of the Controlling Person No.1:

Line 1 (e.g. number and street)*

Line 2 (e.g. postal code and town)*

City*

Country*

AEOI-CRS Tax residence(s) and tax identification number(s) of the Controlling Person No.1:

Country of residence for tax purposes*

Tax Identification Number*

If the TIN is unavailable, please explain the **reason** *:

- If the entity has additional tax residence country(ies), please provide:
 - such additional tax residence country(ies) and TIN(s) on a separate sheet (duly signed, dated with the last and first names of the authorised signatory); and
 - documentary evidence (e.g. certificate of residence, tax income document) for each additional country in which the entity is resident for tax purposes.
- If there is more than one Controlling Person (e.g. Controlling Person No.2, No.3...), please provide the printed identification information of such additional Controlling Persons in a separate sheet with all the above "AEOI-CRS Controlling Persons Identification" (duly signed, dated with the last and first names of the authorised signatories).

Note:

- Account opening and subscriptions into the fund(s) are not authorised if you do not provide the above mentioned FATCA documentation (GIIN code or W8 form or W9 form) and the AEOI-CRS tax information.
- By signing this document you acknowledge that the information contained in this account opening form (and especially in this tax form and all other required tax information such as the account balance, the amount of revenue and sale proceeds paid or credited to the Financial Account) may be reported to the competent tax authorities, if required.

Reporting details

As Account Holder, you will be entitled to receive the following documents:

- a) Contract notes (upon transaction);
- b) Dividend notification (upon transaction);
- c) Acknowledge notification (upon transaction);
- d) Statement of your holdings (monthly);
- e) MT 535 (i.e. SWIFT message if applicable, select your frequency: daily or monthly).

Please select the media (for the receipt of such documents)*:

Contact person no.1

First name: *same as mentioned in the identification details*
Last name: *same as mentioned in the identification details*
Department: *same as mentioned in the identification details*
 E-mail: *same as mentioned in the identification details*
OR
 Fax:

Document: *Contact person no.1 will receive all the documents*

Contact person no.2

First name:
Last name:
Department:
 E-mail:
OR
 Fax:

Select the documents: a) b) c) d) e)

Contact person no.3

First name:
Last name:
Department:
 E-mail:
OR
 Fax:

Select the documents: a) b) c) d) e)

Contact person no.4

First name:
Last name:
Department:
 E-mail:
OR
 Fax:

Select the documents: a) b) c) d) e)

Contact person no.5

First name:
Last name:
Department:
 E-mail:
OR
 Fax:

Select the documents: a) b) c) d) e)

Contact person no.6

First name:
Last name:
Department:
 E-mail:
OR
 Fax:

For receipt of documents: a) b) c) d) e)

Select one preferred reporting currency*: EUR USD

Select one preferred language*: English Dutch French

Note:

By signing this document you accept that the information referred above shall be provided to you a standard unsecured e-mail and that CACEIS may not be held responsible for any potential interception of this information for harmful or fraudulent use.

Third-party and beneficial owner details

Please confirm if the account holder is acting :

- on behalf of third party(ies); or
 on its own behalf and not in favour of a third party.

According to the Directive (EU) 2018/843 of the European Parliament and of the Council of 30 May 2018 amending Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, and amending Directives 2009/138/EC and 2013/36/EU as amended or replaced from time to time an ultimate beneficial owner is the final beneficiary of the investment who owns – directly or indirectly – more than 25% of the investor share capital/voting rights.

The identification details and documentation of the account holder and of the underlying beneficial owner(s) must be provided :

- If the account holder is acting on its own behalf and is a legal entity having one or several natural person(s) holding directly or indirectly 25% or more of the capital/voting rights or having the control over the investor ;
- or if
- the account holder is acting on behalf of third parties and is not regulated or is regulated in a jurisdiction whose anti-money laundering and combating the financing of terrorism regime (AML/CTF) is not considered as adequate and effective according to CACEIS country risk assessment ;
- or
- upon request, if the account holder is a Nominee regulated in a jurisdiction whose anti-money laundering and combating the financing of terrorism regime (AML/CTF) is considered as adequate and effective according to CACEIS country risk assessment ;

In this case, an AML letter or Representation letter (please consider the template in the following section) confirming the performance of KYC due diligence on underlying investors and/or the beneficial owners will be requested and updated pursuant to on-going due diligence requirements;

Identification of beneficial owners:

The identification details and verification of the identity of the underlying beneficial owner(s) must be provided through a beneficial owner declaration (please refer to our template below) and corroborated by supportive documentation from independent and reliable sources.

Important: *In case of indirect ownership, please describe on a dated and signed chart all the intermediate levels of ownership with names and percentages.*

If any of the ultimate economic beneficiary(ies) is/are one of the category displayed below, please provide a detailed description :

<input type="checkbox"/> a person holding a legislative, administrative or judicial office, whether appointed or elected	If applicable, please describe:
<input type="checkbox"/> a person exercising a public function, including for a public agency or public enterprise	
<input type="checkbox"/> an official or agent of a public international organisation	
<input type="checkbox"/> a person or company manifestly close to or connected with the above persons	

Declaration of ultimate beneficial ownership

Information requested in compliance with the Directive (EU) 2018/843 of the European Parliament and of the Council of 30 May 2018 amending Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, and amending Directives 2009/138/EC and 2013/36/EU as amended or replaced from time to time

Full Legal name:	
Tax Identification Number ² :	
Registered office address:	
Postal Code:	
City:	
Registered number:	

I, the undersigned, certify acting in my capacity as legal representative of the client entity:

Title	Name	Surname	Date & place of birth	Nationality(ies)	Permanent address	Job title (if applicable)	Tax Identification Number ² (TIN)

² If TIN is not provided, give the reason when the local law of the relevant tax jurisdiction of legal representative or beneficial owner does not require the collection of the TIN.

I. Information on the beneficial owner(s)

Based on the shareholding structure, please complete section A or B below when applicable:

A. I certify that:

1. - The entity is listed on a regulated market :

Name of the entity	Registered office address	Regulated market name	Country of listing	% free float

2. - Shareholder(s) holding at least 75% of shares or of voting rights as listed on a regulated market:

Name of the client /shareholder	Registered office address	Regulated market name	Country of listing	% free float

B. I certify that the entity's beneficial owner(s)³, is (are), either:

1. - Individual(s) holding at least 25% of the shares or rights through direct or indirect control

Title	Name(s)	Surname	Date and place of birth	Nationality(ies)	Permanent address	% of shares / voting rights	Job title (if applicable)	Official Identification Document number	Tax Identification Number ² (TIN)

2. - Individual(s) exercising effective control on the entity by any other means (other than B1)

Title	Name(s)	Surname	Date and place of birth	Nationality(ies)	Permanent address ³	% of shares / voting rights	Job title (if applicable)	Official Identification Document number	Tax Identification Number ² (TIN)

3. - None of the methods defined above make it possible to identify the beneficiary owner. In that case, the beneficial owner(s) is (are) the individual(s) holding the position of legal representative(s) of the entity. Please provide the names of all additional representatives, if any see below table:

Title	Name(s)	Surname	Date and place of birth	Nationality(ies)	Permanent address ³	% of shares / voting rights	Job title (if applicable)	Official Identification Document number	Tax Identification Number ² (TIN)

³ **Identification criteria for a beneficial owner :**

- Having direct or indirect shareholding of 25% or more of the voting rights or capital/assets.
- Exercising effective control (by "any other means"): power to control the governing, administrative or management bodies or the general shareholders' meeting (example: family group that owns a legal entity).
- In the case of a company: if it has not been possible to identify a natural person based on the two previous bullet points, the natural person(s) who legally represent(s) the company.

Other information

A. The entity's beneficial owner(s), is (are) a Politically Exposed Person⁴ (PEP):

- YES

- NO

If YES, name(s) and position(s) of the PEP (if applicable) :

B. In order to corroborate information mentioned above, I undertake to provide following documents :

- Official Identification Document (If your permanent address⁵ is not filled out in your Official ID, please provide proof of residence dated in the past three months)

- Provide evidence of the beneficial owner position within the entity (organization chart including percentages certified by a third party (auditors/lawyers) or corroborate by certification of incorporation, articles of association,...)

By signing below, I certify that the information provided in this form is both complete and accurate. I undertake to inform CACEIS, in writing and without delay, of any changes or updates to the information provided.

⁴ As defined in the article 4, 28° and in the annex 4 of the Law of 18 September 2017 on the prevention of money laundering and terrorist financing and on the restriction of the use of cash, updated 07/2020 following the entry into force of the Law of 20 July 2020 containing various provisions on the prevention of money laundering and terrorist financing and on the restriction of the use of cash and transposing partially the 5th AML Directive, a PEP is

« *Politically exposed person* » means a natural person who is or who has been entrusted with prominent public functions and includes the following:

(a) heads of State, heads of government, ministers and deputy or assistant ministers;

(b) members of parliament or of similar legislative bodies;

(c) members of the governing bodies of political parties;

(d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;

(e) members of courts of auditors or of the boards of central banks;

(f) ambassadors, consuls, chargés d'affaires and high-ranking officers in the armed forces; (g) members of the administrative, management or supervisory bodies of State-owned enterprises;

(h) directors, deputy directors and members of the board or equivalent function of an international organisation. No public function referred to in points (a) to (h) shall be understood as covering middle-ranking or more junior officials;

(i) natural persons holding functions considered to be important public functions on the list published by the European Commission in accordance with Article 20bis, third subparagraph, of Directive 2015/849;

The public functions referred to in points a) to i) do not cover middle-ranking or more junior functions

« *family members* » includes the following:

(a) the spouse, or a person considered to be equivalent to a spouse, of a politically exposed person;

(b) the children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person;

(c) the parents of a politically exposed person;

« *Persons known to be close associates* » means:

a) natural persons who are known to have joint beneficial ownership of a legal entity referred to under 27°, (a), (b), (c), or (d), or are known to have any other close business relations with a politically exposed person; [4]

b) natural persons who have sole beneficial ownership of a legal entity referred to under 27°, (a), (b), (c), or (d), which is known to have been set up for the de facto benefit of a politically exposed person;

⁵ Permanent address corresponds to the main place of residence. It includes number, track type, channel name, city and country.

Source of funds invested

For account holder acting on its own behalf:

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice):

- Treasury investment
 Other (please describe):

Main country of origin of the funds invested: _____

For account holder acting on behalf of third party(ies):

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice)

- Retail Customers
 Institutional Customers
 Other (please describe):

Main country of origin of underlying clients base invested: _____

Only for corporate investors: please provide a document demonstrating the source of the invested funds

Expected investment amounts

By transaction:	<input type="checkbox"/> Less than EUR 1 million	<input type="checkbox"/> between EUR 1-10 million	<input type="checkbox"/> over EUR 10 million
Expected Total Investment:			

Mandatory documentation

For compliance purposes, the account holder is required to provide CACEIS with KYC documentation as described in the section "Terms and Conditions" below.

Representation

I/We declare that:

- the Account Opening form has been read and filled in accordingly.
- I am/we are aware that the Transfer Agent assume no liability for failure to process an order as a result of incomplete or inaccurate information presented by the subscriber in this Application Form.
- I/we will inform the Transfer Agent of any change in the information provided in this Application Form.
- I/we accept the Terms and Conditions and I/we have read and understood such terms and conditions.
- I am/we are duly authorised to sign this Account Opening form.
- I/we authorise Caceis Bank, Belgium Branch to share my/our and the entity's personal and financial information with any entity in the CACEIS group.
- the invested funds are not derived from illegal activities as described under the recommendations issued by the Financial Action Task Force on Money Laundering (FATF) and that invested funds are declared to the local fiscal authorities.
- I/we am/are aware of the tax obligations relating to the holding of shares / units in the fund in which I/we hereby invest in, towards the competent tax authorities.
- I/we understand and acknowledge that I/we am/are aware of my/our responsibility to comply with all tax obligations. It is therefore the Investor's responsibility to seek any assistance from qualified independent advisors as necessary.

As authorised signatory(ies) I/we hereby sign this Account Opening form (please provide the certified copy of the power of attorney or the list of authorised signatories of the company).

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	
First name	Last name	<input type="text"/>
<input type="text"/>	<input type="text"/>	

2nd signatory* (if applicable)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	
First name	Last name	<input type="text"/>
<input type="text"/>	<input type="text"/>	

Terms and conditions

Mandatory documentation

As required in the prospectus of the Fund(s) and according to local applicable laws, particularly relating to the prevention of money laundering, the Application Form must be accompanied by documents that will allow CACEIS (acting in its capacity of registrar and transfer agent) to identify the account holder and, if applicable, all underlying beneficial owners of the investment to the extent permitted by laws.

Subsequent subscriptions of shares/units within the Fund(s) shall not require again the investor to provide CACEIS with the identification documents to the extent that the investor's situation has remained unchanged since the previous subscriptions.

Notwithstanding the foregoing, the account holder may be requested to provide additional or updated identification documents from time to time pursuant to on-going due diligence requirements under relevant laws and regulations.

In case of delay or failure by the account holder to provide the required documents, the application for shares or units subscription may not be accepted and in case of a shares or units redemption request, the payment of the redemption proceeds and/or dividends may not be processed. Neither the Fund(s) nor CACEIS shall have any liability for delays or failure to process orders into the Fund(s) as a result of the account holder providing incomplete documentation.

Investment Information

This Application Form is subject to the provisions set out in the Fund's prospectus.

Orders received after the cut-off time specified in the prospectus will be processed on the following NAV calculation date, subject to the provisions of the Belgium applicable laws and regulations.

If the Subscriber initiating a payment order and Caceis Bank, Belgium Branch agree that execution of the payment order shall start on a specific day or at the end of a certain period or on the day on which the Subscriber has set funds at Caceis Bank, Belgium Branch's disposal, the point in time of receipt of the payment order is deemed to be the agreed day. If the agreed day is not a Belgian bank business day, the payment order received shall be deemed to have been received on the following Belgian bank business day

Incomplete or illegible orders will be accepted only after such orders have been received with complete and legible information by the Transfer Agent. No money will be transferred to the Fund unless the order is accepted.

Data protection

The Fund(s), where applicable, the Management Company/AIFM, and its suppliers or other third parties, including the Transfer Agent may process information, including personal data, about the Investor, and for corporate and institutional Investors their respective directors, officers and employees as well as of the Intermediary and its affiliates and agents which may constitute personal data under the EU Data Protection Directive and locally implementing laws uncovered by the EU Data Protection Directive.

The Fund(s) and, where applicable, the Management Company/AIFM are committed to protecting the personal data of the investors (including its representatives, directors or employees) and of the other individuals whose personal information come into their possession in the context of the investor's investments in the Fund and to ensure that their service providers comply with similar obligations.

The Fund, and, where applicable, the Management Company/AIFM have taken all necessary steps, to ensure compliance with the EU Regulation 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC and with any implementing legislation applicable to them (together, the "Data Protection Law") in respect of personal data processed by it/them in connection with investments made into the Fund.

Personal data shall have the meaning given in the Data Protection Law and includes any information relating to an identifiable individual, such as the investor's name, address, invested amount, the investor's individual representatives' names as well as the name of the ultimate beneficial owner, where applicable, and such investor's bank account details (the "Personal Data").

The processing includes (non-exclusively) actions required in relation to: information about processing of your Personal Data and, as the case may be, consent mechanisms; procedures for responding to requests to exercise individual rights; contractual arrangements with suppliers and other third parties; security measures; arrangements for overseas data transfers and record keeping and reporting policies and procedures.

When subscribing to the Fund, each investor is informed of the processing of his/her personal data (or, when the investor is a legal person, of the processing of such investor's individual representatives and/or ultimate beneficial owners' personal data) via the data protection notice which will be made available to the investors in Part 4 of this application form (the "Data Protection Notice").

This Data Protection Notice will inform the investors about the processing activities undertaken by the Fund, the Management Company/AIFM and their delegates, including the Transfer Agent, in more details.

Recording of telephone conversations

Whoever the party initiating the call, the Investor accepts that CACEIS may record on magnetic tape or on any other medium all or part of any telephone conversations with the Investor or a proxy-holder thereof. The Investor acknowledges that such recordings shall be validly binding upon it and on any third party, even if it was not specifically made aware to the Investor or its representatives that the conversation was being recorded. Recordings will be kept for a maximum period of 5 (five) years and may be produced in court. They shall have the same evidential value as written original documents. The recordings shall be used in strict compliance with any applicable banking secrecy and individual privacy laws.

Prevention of Money Laundering and fight of terrorist financing

All Applicants understand that due to the changing nature of laws and regulations and the possible extensions of applicable rules, the Registrar may update and amend its procedures as might be required from time to time to comply with such amendments.

In compliance with applicable anti-money laundering laws and regulations, the Registrar may require further information to carry out the required identification of the Applicants or an existing investor

before the application can be processed or the redemption proceeds paid out; and the documentation to be renewed in accordance with applicable regulation and market practice.

General definitions

“Beneficial Owner” As per the 4th AML directive (EU 2015/849) of 20 May 2015, beneficial owner means any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least:

(a) in the case of corporate entities:

(i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership. This applies without prejudice to the right of Member States to decide that a lower percentage may be an indication of ownership or control. Control through other means may be determined, inter alia, in accordance with the criteria in Article 22(1) to (5) of Directive 2013/34/EU of the European Parliament and of the Council (3);

(ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), the natural person(s) who hold the position of senior managing official(s), the obliged entities shall keep records of the actions taken in order to identify the beneficial ownership under point (i) and this point;

(b) In the case of trusts:

- (i) the settlor;
- (ii) the trustee(s);
- (iii) the protector, if any;

(iv) the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates; (v) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;

- (c) in the case of legal entities such as foundations, and legal arrangements similar to trusts, the natural person(s) holding equivalent or similar positions to those referred to in point (b).

“Constitutive Documents” means the Articles of Incorporation or Management Regulations, as the case may be, of a Fund as amended from time to time.

“FATF” means the Financial Action Task Force.

“Fund” or “Funds” means the collective investment schemes listed in the Application Form.

“Institutional Investor” means any Investor that is not a natural person and for which the business purpose requires the management of substantial assets. The main characteristic of any institutional investor is that its business purpose requires the management of substantial assets. An institutional investor may be an Insurance company, social security institution, credit institution, UCI (Undertaking Collective Investment), local authority, unregulated investment company (e.g. holding company) under certain conditions, commercial company with substantial assets under management, pension fund or other professionals of the financial sector. Credit institutions and other professionals of the financial sector investing in institutional funds in their own name but on behalf of another party on the basis of a discretionary management relationship are also considered as institutional investors, even if the third party on behalf of which the investment is undertaken is not itself an institutional investor.

“Investor” means the entity or organisation subscribing for Shares including, where applicable, an Intermediary who is authorised to subscribe to Shares on behalf of its clients.

“Intermediary” means the entity or person advising and dealing on behalf of the Investor.

“Fund” means an investment fund vehicle.

“Prospectus” means the current prospectus issued by or in respect of each Fund, whether supplemented or periodically amended.

“Shares” means shares or units of a Fund.

“Terms and Conditions” means these terms and conditions that form part of the Application Form, whether supplemented or periodically amended.

Part 2: Fund introduction form

Please send the original documents to **Caceis Bank, Belgium Branch⁷, , Transfer Agent Department, at Avenue du Port 86/C bte 320 – 1000 Brussels - Belgium** and the electronic copies by email to registrar.be@caceis.com

IMPORTANT: Please be ensure to complete all fields that are marked with an asterisk (* mandatory field) and fill in the form in **BLOCK CAPITALS**.

You do not need to fill in this *Fund Introduction form*, if you want to invest into a fund in which you already hold positions.

Account holder identification

If your account is already opened, please provide your register account number at CACEIS

If your account is not yet opened, CACEIS will provide your attributed register account number once it is opened.

Fund identification

Fund legal name (one fund only)

I/We want to invest into the following Fund: *

KIID Declaration

The Key Investor Information Document (**KIID**) of the Fund relating to each share class is be available on the website of the Fund or of its Management Company/AIFM (according to the EU Directive 2009/65/EC on undertaking for collective investments, as amended and relating implementing acts) (collectively referred to as the “UCITS Regulation”). The Fund or its Management Company/AIFM will ensure, in accordance with the UCITS Regulations, that such website is constantly updated or otherwise, will provide with alternative solutions to access the KIID.

* By ticking this box, I/we declare to have read the relevant KIID of the Fund relating to the relevant share class and I/we agree that any new subscription order made shall be considered as a written confirmation that I/we have taken knowledge of the relevant up-to-date relevant KIID as published on the website referred above on the date on which the subscription order is completed.

* [Final investors](#) investing in the Fund for their own account.

The subscriber hereby acknowledges and agrees that any subscription/switch order made by the subscriber shall be considered as a written confirmation from the subscriber that the investor/underlying beneficial owner (“BO”) has taken knowledge of the up-to-date relevant KIID as published on the above mentioned website on the date such subscription/order was made.

* [Financial intermediaries](#) investing in the Fund for the account of investors, or advising investors to invest in the Fund.

The financial intermediaries hereby confirm that they have provided the underlying beneficial owners (“BO”) or potential investors with the relevant up-to-date KIID for any subscription/order made and take full responsibility for any concerns regarding the notification of the KIID to the investors/BO. We provide CACEIS with all the KYC documentation upon request by the extent permitted by laws, and we will inform CACEIS immediately if we become aware of any introduced investor engaging in activities which lead us to believe that such investor is involved in money laundering or terrorist activities.

PRIIPS Declaration

This section should be completed if you invest in a fund required to publish a PRIIPS.

The Key Information Document (**KID**) of the Fund relating to each share class is provided in a durable medium other than paper or by means of a website of the Fund or of its AIFM (according to the Regulation (EU) n° 1286/2014 of European Parliament and of the Council of 26 November 2014 on key information documents for packaged retail and insurance-based investment products (PRIIPs), the Regulation (EU) 2016/2340 of the European Parliament and of the Council of 14 December 2016 amending Regulation (EU) No 1286/2014 on key information documents for packaged retail and insurance-based investment products and the Commission Delegated Regulation (EU) 2017/653 of 8 March 2017 Supplementing Regulation (EU) n° 1286/2014 of European Parliament and of the Council on key information documents for packaged retail and insurance-based investment products (PRIIPs) by laying down regulatory technical standards with regard to the presentation, content, review and revision of key information documents and the conditions for fulfilling the requirement to provide such documents) (collectively referred to as the “PRIIPS Regulation”). The Fund, or its AIFM, will ensure, in accordance with the PRIIPS Regulations, that such website is constantly updated or otherwise, will provide with alternative solutions to have access to the KID.

⁷ Caceis Bank, Belgium Branch acting in its capacity of Transfer Agent of the funds, specialising in the execution of subscription and redemption orders for fund shares /units and in the maintenance of the share/unitholder register.

By ticking this box, I/we declare to I/we have been given the choice between KID provided on paper, in a durable medium and by means of a website and I/we give my/our consent to receive the relevant KID in a durable medium other than paper or by means of a website and I/We agree that my/our e-mail address will be recorded for the KID purpose.

By ticking this box, I/we declare to have received and have the opportunity to read the relevant KID of the Fund relating to the relevant share class prior to my/our investment and before reviewing and signing this application and I/We agree that for any new subscription order I/We will receive a subsequent order form to be completed and signed and that I/We will ensure that I/We will obtain the KID relating to any new subscription.

GDPR Declaration

We acknowledge that the Fund, and where applicable the Management Company/AIFM and where applicable their service providers (including the Transfer Agent) will hold and process our personal data in accordance with the applicable data protection regulations. Further information is available in section "Terms and Conditions" of this Application Form as well as on the Data Protection Notice attached to this Application Form.

By checking this box, I/we confirm that we have read and acknowledge the Data Protection Notice

Professional secrecy declaration

We acknowledge that the Fund, and where applicable the Management Company/AIFM and where applicable their service providers (including the Transfer Agent) will hold and process our personal data in accordance with the applicable data protection regulations. Further information is available in section "Terms and Conditions" of this Application Form.

By checking this box, I/we confirm that I/we have read and acknowledge the section "Terms and Conditions" of this Application Form and I/we authorise the Fund, and where applicable the Management Company/AIFM, and where applicable their service providers (and in particular the Transfer Agent) to share my/our personal and financial information under the terms and conditions set hereunder in this Application Form.

Institutional investor declaration

*ONLY IF you want to invest into *institutional share classes* of the Fund (i.e. restricted to institutional investors) or into an institutional fund, you need to hereby confirm that you are regarded as institutional Investor⁸ in the meaning of the Belgian laws and regulations. Please tick the corresponding institutional investor category*:

- | | |
|--|---|
| <input type="checkbox"/> A credit institution | <input type="checkbox"/> Third parties through a credit institution or other PSF with which they are in discretionary |
| <input type="checkbox"/> Other Professionals of the Financial Sector (PSF) | <input type="checkbox"/> Management relationship |
| <input type="checkbox"/> An insurance or reinsurance company | <input type="checkbox"/> An undertaking for collective investment (UCI) |
| <input type="checkbox"/> A social security organisation | <input type="checkbox"/> A holding company or similar whose partners are institutional investors |
| <input type="checkbox"/> A pension Fund | <input type="checkbox"/> A local authority investing its own capital |
| <input type="checkbox"/> An industrial and financial group | <input type="checkbox"/> Other structures: <input type="text"/> |

If you do not fall into any of the above categories, you should confirm the below either subscribing for your own account or for the account of an end investor.

Third-party and beneficial owner details

Important: This section is not required if it was already completed in the Account Opening form and it remains unchanged for this fund introduction.

Please confirm if the account holder is acting :

- on behalf of third party(ies); or
 on its own behalf and not in favour of a third party.

According to the Directive (EU) 2018/843 of the European Parliament and of the Council of 30 May 2018 amending Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, and amending Directives 2009/138/EC and 2013/36/EU as amended or replaced from time to time an ultimate beneficial owner is the final beneficiary of the investment who owns – directly or indirectly – more than 25% of the investor share capital/voting rights.

⁸ The main characteristic of any institutional investor is that its business purpose requires the management of substantial assets. An institutional investor may be an Insurance company, social security institution, credit institution, UCI (Undertaking Collective Investment), local authority, unregulated investment company (e.g. holding company) under certain conditions, commercial company with substantial assets under management, pension fund or other professionals of the financial sector. Credit institutions and other professionals of the financial sector investing in institutional funds in their own name but on behalf of another party on the basis of a discretionary management relationship are also considered institutional investors, even if the third party on behalf of which the investment is undertaken is not itself an institutional investor.

The identification details and documentation of the account holder and of the underlying beneficial owner(s) must be provided :

- If the account holder is acting on its own behalf and is a legal entity having one or several natural person(s) holding directly or indirectly 25% or more of the capital/voting rights or having the control over the investor ;
- or if
- the account holder is acting on behalf of third parties and is not regulated or is regulated in a jurisdiction whose anti-money laundering and combating the financing of terrorism regime (AML/CTF) is not considered as adequate and effective according to CACEIS country risk assessment ;
- or
- upon request, if the account holder is a Nominee regulated in a jurisdiction whose anti-money laundering and combating the financing of terrorism regime (AML/CTF) is considered as adequate and effective according to CACEIS country risk assessment ;

In this case, an AML letter or Representation letter (please consider the template in the following section) confirming the performance of KYC due diligence on underlying investors and/or the beneficial owners will be requested and updated pursuant to on-going due diligence requirements;

Identification of beneficial owners:

The identification details and verification of the identity of the underlying beneficial owner(s) must be provided through a beneficial owner declaration (please refer to our template below) and corroborated by supportive documentation from independent and reliable sources.

Important: In case of indirect ownership, please describe on a dated and signed chart all the intermediate levels of ownership with names and percentages.

If any of the ultimate economic beneficiary(ies) is/are one of the category displayed below, please provide a detailed description :

<input type="checkbox"/> a person holding a legislative, administrative or judicial office, whether appointed or elected	If applicable, please describe:
<input type="checkbox"/> a person exercising a public function, including for a public agency or public enterprise	
<input type="checkbox"/> an official or agent of a public international organisation	
<input type="checkbox"/> a person or company manifestly close to or connected with the above persons	

Source of funds invested

For account holder acting on its own behalf:

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice):

- Treasury investment
- Other (please describe):

Main country of origin of the funds invested: _____

For account holder acting on behalf of third party(ies):

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice)

- Retail Customers
- Institutional Customers
- Other (please describe):

Main country of origin of underlying clients base invested: _____

Only for corporate investors: please provide a document demonstrate the source of the invested funds

Expected investment amounts

By transaction:	<input type="checkbox"/> Less than EUR 1 million	<input type="checkbox"/> between EUR 1-10 million	<input type="checkbox"/> over EUR 10 million
Expected Total Investment:			

Mandatory documentation

For compliance purposes, the account holder is required to provide CACEIS with KYC documentation as described in the section "Terms and Conditions" below.

Representation

I/We declare that:

- the Account Opening form has been read and filled in accordingly.
- I am/we are aware that the Transfer Agent assume no liability for failure to process an order as a result of incomplete or inaccurate information presented by the subscriber in this Application Form.
- I/we will inform the Transfer Agent of any changes in the information provided in this Application Form.
- I/we accept the Terms and Conditions and I/we have read and understood such terms and conditions.
- I am/we are duly authorised to sign this Account Opening form.
- I/we authorise Caceis Bank, Belgium Branch to share my/our and the entity's personal and financial information with any entity in the CACEIS group.
- the invested funds are not derived from illegal activities as described under the recommendations issued by the Financial Action Task Force on Money Laundering (FATF) and that invested funds are declared to the local fiscal authorities.
- I/we am/are aware of the tax obligations relating to the holding of shares / units in the fund in which I/we hereby invest in, towards the competent tax authorities.
- I/we understand and acknowledge that I/we am/are aware of my/our responsibility to comply with all tax obligations. It is therefore the Investor's responsibility to seek any assistance from qualified independent advisors as necessary.

Note: This Fund introduction form is not a subscription form. To subscribe in the selected fund, please complete the Subscription form (see Part 3) or provide your own subscription form.

1st signatory*

Date	Place	Signature
<input type="text"/>	<input type="text"/>	
First name	Last name	<input type="text"/>
<input type="text"/>	<input type="text"/>	

2nd signatory* (if applicable)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	
First name	Last name	<input type="text"/>
<input type="text"/>	<input type="text"/>	

Part 3: Subscription form

For your subscription into the fund, please complete and send this form by **FAX: 0032 2 209 26 98** (Caceis Bank, Belgium Branch).

IMPORTANT: Please be sure to complete all fields that are marked with an asterisk (*mandatory field) and fill in the form in BLOCK CAPITALS.

Account holder identification

If your account is already opened, please provide your register account number at CACEIS

If your account is not yet opened, CACEIS will provide your attributed register account number once it is opened.

Fund identification

I/We want to subscribe into the following **fund:** *

With the share class name (if applicable)

With the following **security code** (ISIN): *

Amount or number of shares and currency

I/we want to buy either*:

Amount	Currency
<input type="text"/>	<input type="text"/>

(thousands separator “,” and the decimal point “.”)

OR

Number of shares
<input type="text"/>

(thousands separator “,” and the decimal point “.”)

By paying in the following **currency** (if different from the above currency)

EUR USD

Source of funds invested

For account holder acting on its own behalf:

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice):

- Treasury investment
 Other (please describe):

Main country of origin of the funds invested: _____

For account holder acting on behalf of third party(ies):

I/we declare that the origin of the funds used for subscription is coming from: (please tick the right choice)

- Retail Customers
 Institutional Customers
 Other (please describe):

Main country of origin of underlying clients base invested: _____

Only for corporate investors: please provide a document demonstrate the source of the invested funds

Payment transfer and account

Details of accounts to which subscription payments are to be transferred, [please select*](#) an account in accordance with the selected currency above:

	Compartment	ISIN codes	Account Number	Currency	BIC Code
	Aphillion Q2 Equities	BE0058285850 (A Class) BE6300962360 (B Class)	BE47817000045680	EUR	ISAEBEBB
	Aphillion Q2 Europe DBI - RDT	BE6334503156 (A Class) BE6334508205 (B Class)	BE63817000203308	EUR	ISAEBEBB

IMPORTANT:

- The transfer description should include: (i) investor's name, (ii) your registrar account number with CACEIS, (iii) legal fund name and (iv) the ISIN code.
- Orders received after the cuff-off time specified in the prospectus will be processed on the following NAV calculation date.
- **The order(s) in this Subscription form will be accepted by the Transfer agent, only once your account is opened.**

By signing this document, I/we declare that I/we am/are aware of the tax obligations relating to the detention of shares / units of the funds in which I/we hereby invest in, towards the competent tax authorities.

I/we declare that I/we am/are aware of my/our responsibility for fulfilling all tax obligations towards the reference and/or competent authorities. In order to determine the scope of my obligations, it is therefore my responsibility to obtain any assistance from qualified independent advisors if I believe this to be necessary.

[1st signatory*](#)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	

[2nd signatory*](#) (if applicable)

Date	Place	Signature
<input type="text"/>	<input type="text"/>	<input type="text"/>
First name	Last name	
<input type="text"/>	<input type="text"/>	